

# $q$ -Hausdorff Summability

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## Abstract

We define a  $q$ -analog of Cesàro summability and we then construct a class of  $q$ -Hausdorff matrices. We define a type of  $q$ -difference for sequences and a  $q$ -analog of Bernstein polynomials. Using these concepts we define a  $q$ -moment problem and relate this moment problem to  $q$ -Hausdorff summability.

**Keywords:** matrix summability, Cesàro summability, Hausdorff matrices, Hausdorff moment problem, Bernstein polynomials,  $q$ -binomial theorem.

**Math Subject Classification:** 40G05,40C05,33D99,33D05.

## 1 Introduction

If  $(z_n)$  is a sequence of complex numbers then the Cesàro mean  $(\sigma_n)$  is defined by

$$\sigma_n = \frac{z_0 + z_1 + \dots + z_n}{n+1}, n = 0, 1, 2, \dots \quad (1)$$

If  $\lim_{n \rightarrow \infty} \sigma_n = \sigma$  then the sequence  $(z_n)$  is said to be Cesàro summable to the limit  $\sigma$ . It is also said that  $(z_n)$  is summable by the Cesàro means of first order, or is summable  $(C, 1)$ . This is because the Cesàro mean as defined in (1) belongs to a family of summability methods  $(C, \alpha)$  where  $\alpha \geq 0$ . We will speak of these more general Cesàro means subsequently. The first order means (1) have played an important role in analysis. Arguably the most famous application of  $(C, 1)$  summability is the classic result of L. Fejér in which he proved that the Cesàro means of the Fourier series of a continuous function converge uniformly. This beautiful theorem may be found in most books on Fourier series. The subject of summability methods was a major research topic in the first half of the twentieth century, an excellent reference to this work is provided by G.H. Hardy's classic book *Divergent Series* [6].

The last thirty years has seen a remarkable production of research involving  $q$ -series and  $q$ -differences (cf. [5]). This  $q$ -analysis has deep roots going back to Euler. The development of the theory of Askey-Wilson polynomials was a primary catalyst in the current interest in the subject. One of the thrusts in this research has been aimed at finding suitable  $q$ -analogs of functions and processes belonging to classical function theory. For example in [1] and [3]

first steps were taken in the development of a Fourier theory involving certain  $q$ -analogs of trigonometric functions. A complete development of a  $q$ -Fourier theory must include a suitable summability theory. In this paper we will take a preliminary step by introducing a  $q$ -analog of Cesàro summability and linking it to a  $q$ -version of Hausdorff summability.

For the sake of completeness we will make some definitions and fix some notation used in the  $q$ -calculus. The standard reference on such things is the book by G. Gasper and M. Rahman [5]. We will always assume that  $0 < q < 1$ . First, we define the  $q$ -coefficient  $(a; q)_n = (1 - a)(1 - aq) \dots (1 - aq^{n-1})$ . The infinite version of this product is defined by  $(a; q)_\infty = \lim_{n \rightarrow \infty} (a; q)_n$ . The  $q$ -binomial coefficient is defined by  $\begin{bmatrix} n \\ s \end{bmatrix} = \frac{(q; q)_n}{(q; q)_s (q; q)_{n-s}}$ . We will use the notation  $[x - a]_q^n = (x - a)(x - aq) \dots (x - aq^{n-1})$  and throughout the paper we will make frequent use of the finite  $q$ -binomial theorem (cf.[5]) which states that

$$[x - a]_q^n = \sum_{j=0}^n (-1)^j q^{\frac{j(j-1)}{2}} \begin{bmatrix} n \\ j \end{bmatrix} a^j x^{n-j}. \quad (2)$$

Lastly, we record the definition of the Jackson  $q$ -integral which plays an important role in the  $q$ -calculus. If  $f$  is a suitably defined function then

$$\int_0^a f(t) d_q t = (1 - q)a \sum_{k=0}^{\infty} f(aq^k) q^k. \quad (3)$$

We note that the  $q$ -integral (3) is a Riemann-Stieltjes integral with respect to a step function having infinitely many points of increase at the points  $aq^k$ ,  $k = 0, 1, \dots$ . The jump at  $aq^k$  is  $a(1 - q)q^k$ .

## 2 $q$ -Cesàro Summability

Let  $A = (a_{nk})$ ,  $n, k = 0, 1, 2, \dots$  be an infinite matrix of real numbers. We will define the  $A$ -transform of a given sequence  $z = (z_n)$  to be the sequence  $t = (t_n)$  defined by

$$t_n = \sum_{k=0}^{\infty} a_{nk} z_k, \quad n = 0, 1, \dots \quad (4)$$

Naturally we presume that the infinite series in (4) converge. The relation (4) can be written in matrix form as  $t = Az$ . The matrix  $A$  is said to be a regular summability method if the convergence of the sequence  $(z_n)$  implies the convergence of the transform sequence  $(t_n)$  to the same limit. That is,  $z_n \rightarrow a$  implies that  $t_n \rightarrow a$ . The matrix corresponding to the first order Cesàro means (1) is

$$a_{nk} = \begin{cases} \frac{1}{n+1} & \text{if } k \leq n \\ 0 & \text{if } k > n \end{cases} \quad (5)$$

The Silverman-Toeplitz theorem ([6],[8],[9]) provides necessary and sufficient conditions that the matrix  $A$  in (4) be regular.

**Theorem 1** (*Silverman-Toeplitz*): *The matrix  $A$  is a regular summability method if and only if*

$$(1) \lim_{n \rightarrow \infty} a_{nk} = 0, \quad k = 0, 1, \dots,$$

- (2)  $\lim_{n \rightarrow \infty} \sum_{k=0}^{\infty} a_{nk} = 1,$   
(3)  $\sum_{k=0}^{\infty} |a_{nk}| < M, \quad n = 0, 1, \dots$

It is obvious that the Cesàro matrix in (5) satisfies the three conditions of Theorem 1. There are many ways to define a  $q$ -analog of  $(C, 1)$  summability. We will give our suggested analog and then explain why it seems suitable. Define  $C_1(q) = (a_{nk}(q))$  where

$$a_{nk}(q) = \begin{cases} \frac{1-q}{1-q^{n+1}} q^{n-k} & \text{if } k \leq n \\ 0 & \text{if } k > n \end{cases} \quad (6)$$

We will then say that  $(z_n)$  is  $q$ -Cesàro summable to the limit  $a$  if

$$\lim_{n \rightarrow \infty} \sum_{k=0}^n a_{nk}(q) z_k = a. \quad (7)$$

The first reason that this definition is appropriate is that  $\lim_{q \rightarrow 1} a_{nk}(q) = \frac{1}{n+1}$ . Thus the  $q$ -Cesàro matrix  $C_1(q)$  converges to the Cesàro matrix for  $(C, 1)$  summability as  $q \rightarrow 1$ . Another reason the definition seems appropriate involves the relation between the binomial theorem and the  $q$ -binomial theorem. We will explain this now. The Cesàro means of order  $\alpha$  satisfy a power series identity that may be taken as their defining relation. Given an infinite series  $\sum_{k=0}^{\infty} u_k$ , we define the  $(C, \alpha)$  mean of the series to be the sequence  $(U_n^{(\alpha)})$  in the power series identity

$$(1-z)^{-\alpha-1} \sum_{n=0}^{\infty} u_n z^n = \sum_{n=0}^{\infty} b_n^{(\alpha+1)} U_n^{(\alpha)} z^n, \quad (8)$$

where the numbers  $b_n^{(\alpha+1)}$  are the binomial power series coefficients:

$$(1-z)^{-\alpha-1} = \sum_{n=0}^{\infty} b_n^{(\alpha+1)} z^n. \quad (9)$$

If we denote the partial sums of  $\sum_{k=0}^{\infty} u_k$  by  $s_n$  then the identity (8) is equivalent to

$$(1-z)^{-\alpha} \sum_{n=0}^{\infty} s_n z^n = \sum_{n=0}^{\infty} b_n^{(\alpha+1)} U_n^{(\alpha)} z^n. \quad (10)$$

If we set  $\alpha = 1$  in (10) we obtain the  $(C, 1)$  mean defined in (1). It seems reasonable to write a  $q$ -analog of (9) by using the  $q$ -binomial series (cf.[5]).

$$\frac{(q^{\alpha+1}z; q)_{\infty}}{(z; q)_{\infty}} = \sum_{n=0}^{\infty} \frac{(q^{\alpha+1}; q)_n}{(q; q)_n} z^n. \quad (11)$$

If  $q \rightarrow 1$  in (11) then (9) is obtained. We would then define the  $q$ -Cesàro mean of order  $\alpha$  of a sequence  $(u_n)$  to be the sequence  $(U_n^{(\alpha)}(q))$  given by

$$\frac{(q^{\alpha+1}z; q)_{\infty}}{(z; q)_{\infty}} \sum_{n=0}^{\infty} u_n z^n = \sum_{n=0}^{\infty} \frac{(q^{\alpha+1}; q)_n}{(q; q)_n} U_n^{(\alpha)}(q) z^n. \quad (12)$$

When  $\alpha = 1$  in (12) we get the first order  $q$ -Cesàro mean as defined in (1) and as defined by the matrix  $C_1(q)$ . We will denote the summability matrix that

corresponds to  $\alpha > 0$  in (12) by  $C_\alpha(q)$ . Simple calculations establish that the  $q$ -Cesàro matrix  $C_\alpha(q)$  of order  $\alpha$  satisfies the conditions of Theorem 1. We thus have

**Theorem 2** *The  $q$ -Cesàro matrix  $C_\alpha(q)$  is a regular summability method if  $\alpha > 0$ .*

If A and B are summability matrices we say that A is stronger than B if every sequence that is summed by B is also summed by A (to the same limit). If conversely every A summable sequence is also B summable then we say that A and B are equivalent. It is natural to ask how the strength of the first order  $q$ -Cesàro means varies with  $q$ . The answer is provided in the next theorem.

**Theorem 3**  *$C_1(q_1)$  and  $C_1(q_2)$  are equivalent for  $0 < q_1, q_2 < 1$*

**Proof.** Set  $\alpha = 1$  in equation (12) to get

$$\frac{1}{(1-z)(1-qz)} \sum_{n=0}^{\infty} u_n z^n = \sum_{n=0}^{\infty} \frac{1-q^{n+1}}{1-q} U_n^{(1)}(q) z^n. \quad (13)$$

If we set  $q = q_1$  and  $q = q_2$  in (13) we easily find that

$$\frac{1-q_2 z}{1-q_1 z} \sum_{n=0}^{\infty} \frac{1-q_2^{n+1}}{1-q_2} U_n^{(1)}(q_2) z^n = \sum_{n=0}^{\infty} \frac{1-q_1^{n+1}}{1-q_1} U_n^{(1)}(q_1) z^n. \quad (14)$$

Expanding  $\frac{1-q_2 z}{1-q_1 z}$  in a power series, multiplying the series on the left of (14), and equating power series coefficients yields

$$U_n^{(1)}(q_1) = \sum_{j=0}^n a_{nj} U_j^{(1)}(q_2), \quad (15)$$

where the terms  $a_{nj}$  have the form

$$a_{nj} = \begin{cases} (q_1 - q_2) \frac{1-q_2^{j+1}}{1-q_1^{j+1}} \frac{1-q_1}{1-q_2} q_1^{n-j-1} & \text{if } j = 0, 1, \dots, n-1 \\ \frac{1-q_2^{n+1}}{1-q_1^{n+1}} \frac{1-q_1}{1-q_2} & \text{if } j = n \end{cases} \quad (16)$$

Equation (16) expresses the sequence  $(U_n^{(1)}(q_1))$  as a matrix transform of the sequence  $(U_n^{(1)}(q_2))$ . A routine calculation shows that the matrix  $(a_{nk})$  satisfies the conditions of Theorem 2. Thus every sequence summable  $C_1(q_2)$  is also summable  $C_1(q_1)$ . To complete the proof, we only need to switch  $q_1$  and  $q_2$  in the calculations above. ■

This theorem does not address the comparison of  $C_1(q)$  with the usual Cesàro mean  $(C, 1)$ . The next theorem deals with this.

**Theorem 4** *Any sequence that is summable  $C_1(q)$  is also summable  $(C, 1)$ . The converse statement does not hold.*

**Proof.** The proof follows the same lines as the proof of Theorem 3. Let  $(\sigma_n)$  denote the  $(C, 1)$  mean of a given sequence and let  $(U_n(q))$  denote the  $C_1(q)$  mean of the same sequence. Then we have  $\sigma_n = \sum_{j=0}^n \alpha_{nj} U_j(q)$ , where

$$\alpha_{nj} = \begin{cases} \frac{1-q^{j+1}}{n+1} & \text{if } j = 0, 1, \dots, n-1 \\ \frac{1-q^{n+1}}{(n+1)(1-q)} & \text{if } j = n \end{cases} \quad (17)$$

The matrix  $(\alpha_{nj})$  satisfies the conditions of Theorem 1, hence if  $(U_n(q))$  converges then so does  $(\sigma_n)$ . To prove the second part of the theorem we write  $U_n(q) = \sum_{j=0}^n \beta_{nj} \sigma_j$ , where

$$\beta_{nj} = \begin{cases} \frac{1-q}{1-q^{n+1}}(j+1)(1-q^{-1})q^n & \text{if } j = 0, 1, \dots, n-1 \\ \frac{1-q}{1-q^{n+1}}(n+1) & \text{if } j = n \end{cases}. \quad (18)$$

A calculation shows that  $\lim_{n \rightarrow \infty} \sum_{j=0}^n \beta_{nj} \neq 0$ . ■

Consider, for example, the sequence  $(u_n)$  defined by  $u_n = \frac{1}{2} + \cos(x) + \cos(2x) + \dots + \cos(nx)$ . It is well known that  $(u_n)$  is  $(C, 1)$  summable to 0 provided  $x \neq 2k\pi$ . However, it is not  $C_1(q)$  summable.

*Remark:* The  $q$ -Cesàro matrix  $C_1(q)$  appears in the Pólya-Szegő problem book [7], and in [4]. However neither of these references have placed  $C_1(q)$  in the context of Hausdorff summability as will be done here.

### 3 Hausdorff Summability

The Cesàro means  $(C, \alpha)$  belong to an important class of summability methods called Hausdorff Methods. We will give a very brief outline of the subject here. We will follow the development in [8], other presentations may be found in [6] and [9]. Let  $C$  denote the matrix that corresponds to  $(C, 1)$  summability. We seek a matrix  $H$  with the property that  $HC = DH$  where  $D$  is diagonal. Solving the matrix equation we find that  $H = (h_{pq})$  with

$$h_{pq} = (-1)^{p-q} \binom{p}{q} h_{pp}. \quad (19)$$

The numbers  $h_{pp}$  are arbitrary as long as they are non-zero. We choose  $h_{pp} = (-1)^p$  and then the matrix  $H$  has elements given by

$$h_{pq} = (-1)^q \binom{p}{q}. \quad (20)$$

The matrix  $H$  is self-inverse, that is,  $H^{-1} = H$ . The diagonal matrix  $D$  has diagonal elements  $d_p = \frac{1}{p+1}$ . With these matrices we have  $C = H^{-1}DH$ . Now we define a Hausdorff matrix to be of the form  $A = H^{-1}DH$  where  $H$  is the matrix with elements as in (20) and  $D$  is any diagonal matrix. Thus Hausdorff matrices can be viewed as generalizations of  $(C, 1)$  summability. We need three fundamental theorems pertaining to Hausdorff matrices.

**Theorem 5** *A triangular matrix  $A$  commutes with  $C$  (the  $(C, 1)$  matrix) if and only if  $A$  is a Hausdorff matrix.*

**Theorem 6** *A Hausdorff matrix  $H^{-1}DH$  is regular if and only if  $D = (d_p \delta_{pq})$  with*

$$d_p = \int_0^1 t^p d\phi(t), \quad p = 0, 1, \dots \quad (21)$$

where the function  $\phi(t)$  is of bounded variation on  $[0, 1]$ ,  $\phi(1) - \phi(0) = 1$ , and  $\phi(0^+) = \phi(0)$ .

A sequence that has the integral form above is called a Hausdorff moment sequence. It is important to record a formula for the elements of a Hausdorff matrix. Given a sequence  $(d_p)$  we define the  $k^{\text{th}}$  forward difference by

$$\Delta^k d_n = \sum_{m=0}^k (-1)^m \binom{k}{m} d_{n+m}. \quad (22)$$

We define the  $k^{\text{th}}$  backward difference by

$$\nabla^k d_n = \sum_{m=0}^k (-1)^m \binom{k}{m} d_{n+k-m}. \quad (23)$$

The backward and forward differences clearly satisfy the identity  $\Delta^k d_n = (-1)^k \nabla^k d_n$ . Now if  $\Lambda = (\lambda_{km})$  is a Hausdorff matrix  $\Lambda = H^{-1}DH$  with  $D = (d_p \delta_{pq})$  then

$$\lambda_{km} = \binom{k}{m} \Delta^{k-m} d_m. \quad (24)$$

**Theorem 7** *The sequence  $(d_p)$  has the form*

$$d_p = \int_0^1 t^p d\phi(t), \quad p = 0, 1, \dots \quad (25)$$

*if and only if*

$$(-1)^k \Delta^k d_n \geq 0, \quad n, k = 0, 1, \dots \quad (26)$$

## 4 $q$ -Hausdorff Summability

In this section we will parallel the connections between  $(C, 1)$  and Hausdorff means for the case of  $q$ -Cesàro and a  $q$ -analog of Hausdorff matrices. We begin by finding a matrix  $H_q$  that plays the role of the self-inverse matrix  $H$  given by (19).

**Theorem 8** *If  $D$  is a diagonal matrix then the matrix equation  $H_q C_1(q) = DH_q$  has solution  $H_q = (h_{ps})$  with*

$$h_{ps} = (-1)^{p-s} \begin{bmatrix} p \\ s \end{bmatrix} h_{pp} q^{(s^2-s-p^2+p)/2}, \quad s = 0, 1, \dots, p \quad (27)$$

*The diagonal matrix  $D$  is given by  $D = (d_p \delta_{ps})$  with*

$$d_p = \frac{1-q}{1-q^{p+1}}. \quad (28)$$

**Proof.** The proof is a standard matrix calculation. ■

The terms  $h_{pp}$  in (27) are arbitrary as long as they are non-zero. Accordingly, taking  $h_{pp} = (-1)^p$ , the matrix  $H_q$  is found to be given by

$$h_{ps} = (-1)^s \begin{bmatrix} p \\ s \end{bmatrix} q^{(s^2-s-p^2+p)/2}, \quad s = 0, 1, \dots, p. \quad (29)$$

The matrix  $H_q$  is not self-inverse as is the case with the matrix  $H$  that was defined in (20). It is easy however to compute the inverse and we find  $H_q^{-1} = (h_{ps}^*)$  where

$$h_{ps}^* = h_{ps} q^{(p-s)(p-s-1)/2}. \quad (30)$$

It should be noted that the sequence defined in (28) is a Hausdorff moment sequence and hence the  $q$ -Cesàro matrix is a Hausdorff matrix. This is seen by writing

$$d_p = (1-q) \sum_{k=0}^{\infty} q^{kp} q^k = \int_0^1 t^p d_q t, \quad (31)$$

and recalling that the  $q$ -integral is a Riemann-Stieltjes integral. The more general  $q$ -Cesàro matrix of order  $\alpha$  defined by (2.8) also involves a moment sequence. To see this we denote the matrix by  $C_\alpha(q) = (a_{n,k})$  and note that  $a_{n,n} = \frac{(q;q)_n}{(q^{\alpha+1};q)_n}$ . Now we appeal to Lemma 2.1 in [3] which states:

**Lemma 1** *If  $0 < b < a < 1$  then*

$$\frac{(a;q)_k}{(b;q)_k} = \int_0^1 t^k d\Psi(t) \quad (32)$$

where  $\Psi(t)$  is a monotone increasing step function.

We can thus conclude that if  $\alpha > 0$  then the general  $q$ -Cesàro matrix is a Hausdorff matrix. We now define a  $q$ -Hausdorff matrix to be a lower triangular matrix of the form  $H_q^{-1} D H_q$  where  $D$  is a diagonal matrix. Thus as  $q \rightarrow 1$  a  $q$ -Hausdorff matrix  $H_q^{-1} D H_q$  approaches a Hausdorff matrix  $H D H$ . Next, the form of the matrix elements in a  $q$ -Hausdorff matrix will be determined.

**Definition 1** *For a given sequence  $(d_p)$  we define the  $k^{\text{th}}$  forward  $q$ -difference of  $(d_p)$  by*

$$\Delta_q^{(k)} d_p = \sum_{j=0}^k (-1)^j \begin{bmatrix} k \\ j \end{bmatrix} q^{\frac{(k-j)(k-j-1)}{2}} d_{j+p}, \quad k = 0, 1, \dots \quad (33)$$

We define the  $k^{\text{th}}$  backward  $q$ -difference by

$$\nabla_q^{(k)} d_p = \sum_{j=0}^k (-1)^j \begin{bmatrix} k \\ j \end{bmatrix} q^{\frac{j(j-1)}{2}} d_{k+p-j}. \quad (34)$$

Note that as  $q \rightarrow 1$  the forward  $q$ -difference approaches the standard forward difference defined in (22) and the backward  $q$ -difference approaches the backward difference in (23). Also, we have the identity  $\Delta_q^{(k)} d_s = (-1)^k \nabla_q^{(k)} d_s$ . A matrix calculation shows that we have:

$$H_q^{-1} D H_q = (\lambda_{ps}), \quad \lambda_{ps} = (-1)^s h_{ps} \Delta_q^{(p-s)} d_p = (-1)^p h_{ps} \nabla_q^{(p-s)} d_p, \quad (35)$$

$$s = 0, 1, \dots, p; \quad p = 0, 1, \dots$$

The forward difference defined by (22) satisfies the identity

$$\Delta^n d_p = \Delta^{n-1} d_p - \Delta^{n-1} d_{p+1} \quad (36)$$

The forward  $q$ -difference defined by (33) satisfies a similar identity as we prove next.

**Theorem 9** *The forward  $q$ -difference defined in (33) satisfies the identity*

$$\Delta_q^{(n)} d_s = q^{n-1} \Delta_q^{(n-1)} d_s - \Delta_q^{(n-1)} d_{s+1}. \quad (37)$$

**Proof.** Use the identity  $\begin{bmatrix} n \\ j \end{bmatrix} = \begin{bmatrix} n-1 \\ j-1 \end{bmatrix} + q^j \begin{bmatrix} n-1 \\ j \end{bmatrix}$  to write

$$\begin{aligned} \Delta_q^{(n)} d_s &= \sum_{j=0}^{n-1} (-1)^j q^j \begin{bmatrix} n-1 \\ j \end{bmatrix} q^{\frac{(n-j)(n-j-1)}{2}} d_{j+s} - \\ &- \sum_{j=0}^{n-1} (-1)^j \begin{bmatrix} n-1 \\ j \end{bmatrix} q^{\frac{(n-j-1)(n-j-2)}{2}} d_{j+s+1}. \end{aligned}$$

A simple rearrangement of the sums gives (37). ■

The identity (37) written in terms of the backward difference becomes

$$\nabla_q^{(n)} d_p = \nabla_q^{(n-1)} d_p - q^{n-1} \nabla_q^{(n-1)} d_{p+1}. \quad (38)$$

## 5 A Class of $q$ -Hausdorff Matrices

The  $q$ -Cesàro matrix  $C_1(q) = H_q^{-1} D H_q$  is generated by the moment sequence  $d_p = \int_0^1 t^p d_q t$ . In this section, a class of  $q$ -Hausdorff matrices that generalize  $C_1(q)$  will be introduced. Given a sequence of positive numbers  $a_k$  with  $a_0 = 1$ ,  $a_{k+1} < a_k$ ,  $k = 0, 1, \dots$ , and  $a_k \rightarrow 0$ . Define a function  $\Psi_q(t)$  by  $\Psi_q(t) = a_k - a_{k+1}$ ,  $q^k \leq t < q^{k+1}$ ,  $k = 0, 1, 2, \dots$ ,  $\Psi_q(0) = 0$ ,  $\Psi_q(t) = 1$ ,  $t \geq 1$ . For each such sequence and each such resulting weight function  $\Psi(t)$  we have a  $q$ -Hausdorff matrix where the diagonal matrix  $D$  has entries given by

$$d_p = \int_0^1 t^p d\Psi_q(t). \quad (39)$$

In particular when  $a_k = q^k$  then  $d\Psi_q(t) = d_q t$  and the  $q$ -Hausdorff matrix is  $C_1(q)$ .

**Theorem 10** *The matrices  $H_q^{-1} D H_q$  where the elements of  $D$  are given by (39) are regular.*

**Proof.** We must show that if  $d_p$  is given by (39) then the matrix elements  $\lambda_{ps}$  given by (34) satisfy the three conditions of Theorem 2. We will consider the three conditions in order.

(i) To prove that  $\lambda_{ps} \rightarrow 0$  as  $p \rightarrow \infty$  for each  $s = 0, 1, \dots$  we must first compute the difference  $\nabla_q^{(p-s)} d_s$ . We have

$$\begin{aligned} \nabla_q^{(p-s)} d_s &= \sum_{j=0}^{p-s} (-1)^j \begin{bmatrix} p-s \\ j \end{bmatrix} q^{\frac{j(j-1)}{2}} d_{p-j} \\ &= \int_0^1 \sum_{j=0}^{p-s} (-1)^j \begin{bmatrix} p-s \\ j \end{bmatrix} q^{\frac{j(j-1)}{2}} t^{p-j} d\Psi_q(t) = \int_0^1 t^s [t-1]_q^{p-s} d\Psi_q(t). \end{aligned} \quad (40)$$

Note that  $[t-1]_q^{p-s} = (t-1)(t-q)\dots(t-q^{p-s-1}) = 0$  when  $t = q^m$ ,  $m = 0, 1, \dots, p-s-1$ . Thus

$$\nabla_q^{(p-s)} d_s = \int_0^{q^{p-s}} t^s [t-1]_q^{p-s} d\Psi_q(t). \quad (41)$$



After some calculations, it is found that

$$\left| \nabla_q^{(p-s)} d_s \right| \leq q^{\frac{(p-s)(p-s-1)}{2}} (q; q)_{p-s} q^{(p-s)s} q^{p-s} [\Psi_q(q^{p-s}) - \Psi_q(0)]. \quad (42)$$

Thus we have  $|\lambda_{ps}| \leq \frac{(q; q)_p}{(q; q)_s} q^{p-s}$ . This proves that  $\lambda_{ps} \rightarrow 0$  as  $p \rightarrow \infty$  for fixed  $s$ .

(ii) Here, it will be proven that  $\lim_{p \rightarrow \infty} \sum_{s=0}^p \lambda_{ps} = 1$ . From (34) and from (39) we get

$$\sum_{s=0}^p \lambda_{ps} = (-1)^p q^{-\frac{p(p-1)}{2}} \int_0^1 \sum_{s=0}^p (-1)^s \begin{bmatrix} p \\ s \end{bmatrix} q^{\frac{s(s-1)}{2}} t^s [t-1]_q^{p-s} d\Psi_q(t). \quad (43)$$

In the right side of (43) use the expansion

$$[t-1]_q^{p-s} = \sum_{j=0}^{p-s} (-1)^j \begin{bmatrix} p-s \\ j \end{bmatrix} q^{\frac{j(j-1)}{2}} t^{p-s-j}, \text{ and use the identity } \begin{bmatrix} p \\ s \end{bmatrix} \begin{bmatrix} p-s \\ j \end{bmatrix} = \begin{bmatrix} p-j \\ s \end{bmatrix} \begin{bmatrix} p \\ j \end{bmatrix}, \text{ and interchange the sums to get}$$

$$\int_0^1 \sum_{s=0}^p (-1)^s \begin{bmatrix} p \\ s \end{bmatrix} q^{\frac{s(s-1)}{2}} t^s [t-1]_q^{p-s} d\Psi_q(t) = \int_0^1 \sum_{j=0}^p \begin{bmatrix} p \\ j \end{bmatrix} (-1)^j q^{\frac{j(j-1)}{2}} \sum_{s=0}^{p-j} (-1)^s \begin{bmatrix} p-j \\ s \end{bmatrix} q^{\frac{s(s-1)}{2}} t^{p-j} d\Psi_q(t). \quad (44)$$

Note that  $\sum_{s=0}^{p-j} (-1)^s \begin{bmatrix} p-j \\ s \end{bmatrix} q^{\frac{s(s-1)}{2}} t^{p-j} = \delta_{pj}$ , and thus the right side of (44) reduces to  $(-1)^p q^{\frac{p(p-1)}{2}} \int_0^1 d\Psi_q(t)$ . Thus we have

$$\sum_{s=0}^p \lambda_{ps} = \int_0^1 d\Psi_q(t) = 1. \quad (45)$$

(iii) Here we must prove that  $\sum_{s=0}^p |\lambda_{ps}|$  is uniformly bounded. But it is easy to use an argument like that in (i) to see that  $\lambda_{ps} \geq 0$ , the bound then follows from (ii). ■

As a further example of such a  $q$ -Hausdorff matrix we discuss a  $q$ -analog of Euler summability (cf.[6]). Here we will take the  $q$ -Hausdorff matrix to have elements

$$\lambda_{ps} = \frac{\begin{bmatrix} p \\ s \end{bmatrix} q^{(p-s)(p-s-1)/2} a^{p-s} x^s}{[x+a]_q^p}, 0 < a < x. \quad (46)$$

A calculation shows that the associated diagonal matrix has elements given by

$$d_p = \frac{1}{(-\frac{a}{x}; q)_p}. \quad (47)$$

Write  $\alpha = \frac{a}{x}$ , we have  $0 < \alpha < 1$ . We can then write

$$d_p = \frac{(-\alpha q^p; q)_\infty}{(-\alpha; q)_\infty} = \frac{1}{(-\alpha; q)_\infty} \sum_{n=0}^{\infty} \frac{q^{\binom{n}{2}} \alpha^n q^{np}}{(q; q)_n}. \quad (48)$$

The right side of (48) is a Riemann-Stieltjes integral of the form (39) in which the weight function  $\Psi(t)$  has jumps at the points  $q^n$  and the jump  $j(q^n)$  at  $q^n$  has value

$$j(q^n) = \frac{q^{\binom{n}{2}} \alpha^n}{(q; q)_n (-\alpha; q)_\infty}. \quad (49)$$

We note that when  $q \rightarrow 1$  the matrix elements in (46) approach the matrix elements for Euler summability.

The examples of  $q$ -Hausdorff summability shown here all have weight functions that are purely discrete and have jumps at the points  $q^j$ , the resulting Riemann-Stieltjes integrals thus are all very similar to the Jackson  $q$ -integral. In the next section it will be shown that this is not accidental.

## 6 Relation to the Hausdorff Moment Problem

It is known that a Hausdorff matrix  $HDH$  is regular if and only if the sequence that forms the main diagonal in  $D$  is a Hausdorff moment sequence ([6], [8], [9]). We will now form a similar connection for a  $q$ -Hausdorff matrix. We will say that a sequence  $(d_p)$  is totally  $q$ -monotone if  $\Delta_q^{(n)} d_p \geq 0, n, p = 0, 1, \dots$ . We define a class of weight functions  $F$  as follows.

**Definition 2**  $\alpha(t)$  belongs to the class  $F$  if  $\alpha(t)$  is bounded and monotone increasing with jumps at the points  $q^j, j = 0, 1, \dots, \alpha(0) = 0$ , and if  $\alpha(t)$  has no other point of increase.

**Theorem 11**  $(d_p)$  is totally  $q$ -monotone if and only if  $d_p = \int_0^1 t^p d\Psi(t)$ , where  $\Psi(t) \in F$ .

**Proof.** First, suppose that  $d_p$  is of the form stated with  $\Psi(t) \in F$ . We compute the  $q$ -difference and find that if  $a_j > 0$  is the jump at  $q^j$  then

$$\begin{aligned} \Delta_q^{(k)} d_s &= \int_0^1 (1-t)(q-t)(q^2-t) \dots (q^{k-1}-t) t^s d\Psi(t) \\ &= \sum_{j=k}^{\infty} (1-q^j)(q-q^j) \dots (q^{k-1}-q^j) a_j q^{js} > 0 \end{aligned}$$

In the other direction the proof follows the lines of the presentation given by Wall [8], the original idea of the proof is due to Schoenberg. We begin with the observation that if  $\Delta_q^{(n)} d_s \geq 0, n, s = 0, 1, \dots$  then for any integer  $p$  we have

$$\begin{aligned} d_n &\geq 0, & n &= 0, 1, \dots, p \\ \Delta_q^{(1)} d_n &\geq 0, & n &= 0, 1, \dots, p-1 \\ &\dots & & \\ \Delta_q^{(p-1)} d_n &\geq 0, & n &= 0, 1 \\ \Delta_q^{(p)} d_n &\geq 0, & n &= 0 \end{aligned} \tag{50}$$

From (37) it follows that the above equations are equivalent to the inequalities

$$\begin{aligned} \Delta_q^{(p)} d_0 &\geq 0 \\ \Delta_q^{(p-1)} d_1 &\geq 0 \\ &\dots \\ \Delta_q^{(1)} d_{p-1} &\geq 0 \\ \Delta_q^{(0)} d_n &\geq 0 \end{aligned} \tag{51}$$

If we define  $r_{p,n} = \Delta_q^{(p-n)} d_n$  the system (51) can be written using (33) as

$$r_{p,n} = \sum_{m=0}^p (-1)^{m-n} \begin{bmatrix} p-n \\ m-n \end{bmatrix} d_m q^{\frac{(p-m)(p-m-1)}{2}}, \quad n = 0, 1, \dots, p. \quad (52)$$

Note that the terms in the sum in (52) vanish if  $m \leq n-1$ . The system of equations (52) can be solved for  $d_m$ , the result is

$$d_m = \sum_{k=0}^p \begin{bmatrix} p-m \\ p-k \end{bmatrix} q^{m(p-k)} r_{p,k} q^{\frac{k(k-1)-p(p-1)}{2}}. \quad (53)$$

Again, the terms in the above sum vanish if  $k \leq m-1$ . Define  $L_{p,k} = \begin{bmatrix} p \\ k \end{bmatrix} r_{p,k} q^{\frac{k(k-1)-p(p-1)}{2}}$ , and use this definition in (53) to get

$$d_m = \sum_{k=0}^p \frac{\begin{bmatrix} p-m \\ p-k \end{bmatrix}}{\begin{bmatrix} p \\ k \end{bmatrix}} q^{m(p-k)} L_{p,k}. \quad (54)$$

Note that

$$\frac{\begin{bmatrix} p-m \\ p-k \end{bmatrix}}{\begin{bmatrix} p \\ k \end{bmatrix}} = \frac{(q^{k-m+1}; q)_m}{(q^{p-m+1}; q)_m} \quad (55)$$

which yields

$$\begin{aligned} d_m &= \sum_{k=0}^p \frac{(q^{k-m+1}; q)_m}{(q^{p-m+1}; q)_m} q^{m(p-k)} L_{p,k} \\ &= \sum_{k=0}^p \frac{[q^{p-k} - q^{p-m+1}]_q^m}{(q^{p-m+1}; q)_m} L_{p,k} \end{aligned} \quad (56)$$

Now make a change of index  $j = p - k$  in (56) and write  $B_{p,j} = L_{p,p-j}$  to finally obtain

$$d_m = \frac{1}{(q^{p-m+1}; q)_m} \sum_{j=0}^p [q^j - q^{p-m+1}]_q^m B_{p,j}. \quad (57)$$

The sum on the right side of (57) represents the evaluation of a Riemann-Stieltjes integral with jumps at the points  $q^j$ ,  $j = 0, 1, \dots, p$ , the jump at each such point is  $B_{p,j}$ . If we define the step function  $\Lambda_p(t)$  by

$$\Lambda_p(t) = \begin{cases} 0, & t < q^p \\ B_{p,p}, & q^p \leq t < q^{p-1} \\ B_{p,p} + B_{p,p-1}, & q^{p-1} \leq t < q^{p-2} \\ \dots & \\ B_{p,0} + B_{p,1} + \dots + B_{p,p-1} + B_{p,p}, & 1 \leq t \end{cases} \quad (58)$$

then we may write equation (57) in the form

$$d_m = \frac{1}{(q^{p-m+1}; q)_m} \int_0^1 [t - q^{p-m+1}]_q^m d\Lambda_p(t). \quad (59)$$

Note that the function  $\Lambda_p(t)$  is bounded because it is monotone increasing and  $\Lambda_p(1) = d_0$  from (53). Now observe that

$$\frac{1}{(q^{p-m+1}; q)_m} = 1 + q^p O(1) \text{ as } p \rightarrow \infty. \quad (60)$$

Also,

$$[t - q^{p-m+1}]_q^m = \sum_{j=0}^m \begin{bmatrix} p \\ j \end{bmatrix} (-1)^j q^{\frac{j(j-1)}{2}} q^{(p-m+1)j} t^{m-j} = t^m + q^p O(1), \text{ as } p \rightarrow \infty. \quad (61)$$

Equation (59) can thus be written as

$$d_m = \int_0^1 t^m d\Lambda_p(t) + q^p O(1). \quad (62)$$

We can now apply the Helly-Bray Selection Theorem (cf.[9]) to (62) and allowing  $p \rightarrow \infty$ , the existence of a bounded and non-decreasing function  $\Lambda(t)$  such that

$$d_m = \int_0^1 t^m d\Lambda(t) \quad (63)$$

is established. Further, since each function  $\Lambda_p(t)$  has jumps at  $1, q, q^2, \dots, q^p$ , and  $\Lambda_p(0) = 0$  it follows that the limit function  $\Lambda(t)$  has jumps at  $q^j, j = 0, 1, 2, \dots$ , and that  $\Lambda(0) = 0$ . Thus  $\Lambda(t) \in F$ . This proves the theorem. ■

We now need some lemmas. The proofs are direct and we only outline one proof.

**Lemma 2**  $x^n = \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix} [x - 1]_q^k, n = 0, 1, \dots$

**Definition 3** Let  $\Lambda_{ps}[x]$  be the polynomial of degree  $p$  defined by

$$\Lambda_{ps}[x] = (-1)^p h_{ps} x^s [x - 1]_q^{p-s}. \quad (64)$$

Also, for a given sequence  $(d_n)$  define a linear functional  $M$  acting on polynomials by  $M(x^n) = d_n$ .

A calculation shows that  $M[\Lambda_{ps}[x]] = \lambda_{ps}$ . We will make use of the following identity that has a straightforward induction proof, which is omitted.

**Lemma 3** If  $0 \leq n \leq p$  then

$$x^n = \sum_{s=n}^p \frac{\begin{bmatrix} s \\ n \end{bmatrix}}{\begin{bmatrix} p \\ n \end{bmatrix}} q^{n(p-s)} \Lambda_{ps}[x]. \quad (65)$$

Next, for a function  $f$  defined on the points  $q^k$  define the  $q$ -Bernstein polynomial associated with  $f$  to be

$$B_p[f[x]] = \sum_{s=0}^p f(q^{p-s}) \Lambda_{ps}[x]. \quad (66)$$

**Lemma 4** If  $0 \leq n \leq s \leq p$ , then  $\left\{ \frac{\begin{bmatrix} s \\ n \end{bmatrix}}{\begin{bmatrix} p \\ n \end{bmatrix}} - 1 \right\} q^{p-s} = q^p O(1)$  as  $p \rightarrow \infty$ .

**Proof.** The integer  $n$  is considered to be fixed. We have

$$\frac{\begin{bmatrix} s \\ n \end{bmatrix}}{\begin{bmatrix} p \\ n \end{bmatrix}} = \frac{(q^{s-n+1}; q)_n}{(q^{p-n+1}; q)_n}. \quad (67)$$

Also,  $(q^{s-n+1}; q)_n = \sum_{j=0}^n (-1)^j \binom{n}{j} q^{j(j-1)/2} q^{(s-n+1)j} = 1 + q^s O(1)$  as  $s \rightarrow \infty$ . Using the  $q$ -binomial theorem we have

$$\frac{1}{(q^{p-n+1}; q)_n} = \frac{(q^{p+1}; q)_\infty}{(q^{p-n+1}; q)_\infty} = \sum_{j=0}^{\infty} \frac{(q^n; q)_j}{(q; q)_j} q^{(p-n+1)j} = 1 + q^p O(1). \quad (68)$$

Using these expressions we get the result. ■

**Lemma 5** *If  $\sum_{s=0}^p |\lambda_{ps}| < K$  for  $p = 0, 1, \dots$  then  $\lim_{p \rightarrow \infty} M[B_p[x^n]] = d_n$ .*

**Proof.** We have  $B_p[x^n] = \sum_{s=0}^p q^{n(p-s)} \Lambda_{ps}[x]$  and consequently  $M[B_p[x^n]] = \sum_{s=0}^p q^{n(p-s)} \lambda_{ps}$ . From Lemma 4 recalling that  $M[x^n] = d_n$  and applying M on both sides of (65) we get

$$d_n = \sum_{s=n}^p \frac{\binom{s}{n}}{\binom{p}{n}} q^{n(p-s)} \lambda_{ps}, \quad (69)$$

thus we may write

$$d_n - M[B_p[x^n]] = \sum_{s=n}^p \left\{ \frac{\binom{s}{n}}{\binom{p}{n}} - 1 \right\} q^{n(p-s)} \lambda_{ps} - \sum_{s=0}^n q^{n(p-s)} \lambda_{ps}. \quad (70)$$

Note that the right side of the above expression vanishes when  $n = 0$  and the lemma then holds trivially. We may then suppose that  $n \geq 1$  for the remainder of the proof. The second sum on the right of (70) is of the form  $q^p O(1)$  as  $p \rightarrow \infty$ . The first sum also has that form by Lemma (4). This proves the result. ■

**Definition 4**  $\alpha(t) \in F^*$  if  $\alpha(t)$  has points of increase at  $q^k, k = 0, 1, \dots$  and nowhere else,  $\alpha(0) = 0$ , and if  $\alpha(t)$  is of bounded variation on  $[0, 1]$ .

**Theorem 12** *A  $q$ -Hausdorff matrix is regular if and only if  $d_m$  is given by (63) with  $\Lambda(t) \in F^*$ .*

**Proof.** If  $d_m$  is given by (63) with  $\Lambda(t) \in F^*$  then a very slight modification of the proof of Theorem 10 gives the necessary conclusion. So we must prove that  $d_m$  is a  $q$ -moment sequence with weight function in the class  $F^*$  if the  $q$ -Hausdorff matrix is regular. Suppose first that

$$\sum_{s=0}^p |\lambda_{ps}| < K, p = 0, 1, \dots \quad (71)$$

We rewrite (69) in the form

$$d_n = \frac{1}{(q^{p-n+1}; q)_n} \sum_{k=0}^{p-n} [q^k - q^{p-n+1}]_q^n \lambda_{p,p-k} \quad (72)$$

We may write the right side of (72) as a Riemann-Stieltjes integral in the form

$$d_n = \frac{1}{(q^{p-n+1}; q)_n} \int_0^1 [t - q^{p-n+1}]_q^n d\Psi_p(t) \quad (73)$$

The weight function  $\Psi_p(t)$  is defined by

$$\Psi_p(t) = \begin{cases} 0 & \text{if } t < q^p \\ \lambda_{p0} + \lambda_{p1} & \text{if } q^{p-1} \leq t < q^{p-2} \\ \dots & \\ \lambda_{p0} + \dots + \lambda_{p,p-1} & \text{if } q \leq t < 1 \\ \lambda_{p0} + \dots + \lambda_{pp} & \text{if } 1 \leq t \end{cases} \quad (74)$$

The function  $\Psi_p(t)$  thus defined is of uniformly bounded variation because  $\sum_{s=0}^p |\lambda_{ps}| < K, p = 0, 1, \dots$ . We may apply the reasoning that led to equation (62) and then appeal to the Helly-Bray Theorem [9] to conclude that

$$d_n = \int_0^1 t^n d\Psi(t) \quad (75)$$

where  $\Psi(t) \in F^*$ . Now suppose that  $\lim_{p \rightarrow \infty} \sum_{s=0}^p \lambda_{ps} = 1$ . Using (43) we have that

$$\sum_{s=0}^p \lambda_{ps} = \int_0^1 d\Lambda(t). \quad (76)$$

We thus have that  $\Lambda(1) - \Lambda(0^+) = 1$ . Lastly suppose that  $\lim_{p \rightarrow \infty} \lambda_{ps} = 0$ . Then

$$\lim_{p \rightarrow \infty} (-1)^s \begin{bmatrix} p \\ s \end{bmatrix} q^{(s^2 - s - p^2 + p)/2} \int_0^{q^{p-s}} t^s [t-1]_q^{p-s} d\Psi(t) = 0. \quad (77)$$

The above implies that  $\lim_{p \rightarrow \infty} \int_0^{q^{p-s}} t^s [t-1]_q^{p-s} d\Psi(t) = 0$ . It is not difficult to show that this implies  $\Psi(0^+) = \Psi(0) = 0$ . ■

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